

Practitioner's Docket No.: 600-023

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mark F. Koop

Serial No.: 10/066,145

Art Unit: 2874

Filed: October 26, 2001

Examiner: Not Assigned

For: CHECKER-BOARD OPTICAL CROSS-CONNECT



**Box Missing Parts**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington D.C. 20231 on May 30, 2002.

Lynn L. Pond

**COMPLETION OF FILING REQUIREMENTS**  
**— NONPROVISIONAL APPLICATION**

*(check and complete this item, if applicable)*

**I.** ☒ This replies to the Notice to File Missing Parts of Nonprovisional Application mailed 04/02/2002.

☒ A copy of the Notice to File Missing Parts of Nonprovisional Application—Filing Date Granted is enclosed.

**DECLARATION OR OATH**

**II.** ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**OTHER PAPERS ENCLOSED**

**III.** ☒ Five (5) pages of Formal Drawings.  
☒ Assignment with Assignment Transmittal

**COMPLETION FEES**

**IV. WARNING:** Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 C.F.R. 1.53.

**NOTE:** For effect on fees of failure to establish status, or change status, as a small entity, see 37 C.F.R. 1.28(a).

**1. Filing Fee**  
☐ Original Patent Application  
(37 C.F.R. 1.16(a) --\$690.00; Small Entity--\$345.00)

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2. Fees for Claims ( Claims; Indep.)  
☐ each independent claim in excess of 3  
(37 C.F.R. 1.16(b)--\$78.00; small entity--\$39.00) \$\_\_\_\_.00  
☐ each claim in excess of 20  
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00) \$\_\_\_\_.00  
☐ multiple dependent claim(s)  
(37 C.F.R. 1.16(d)--\$260.00; small entity--\$130.00) \$\_\_\_\_.00
3. Surcharge fees  
☐ late payment of filing fee \$\_\_\_\_.00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- and/or
- ☒ late filing of original declaration or oath \$ 130.00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).
4. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor \$\_\_\_\_.00  
(37 C.F.R. 1.17(i) and 1.47--\$130.00)
5. ☐ Fee for processing an application filed with a specification in a non-English language \$\_\_\_\_.00  
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00)
6. ☒ Assignment Fee \$ 40.00
- Total Completion Fees \$170.00**

#### EXTENSION OF TIME

V. *(complete (a) or (b), as applicable)*

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

#### TOTAL FEE DUE

VI.

The total fee due is:	Completion fee(s)	\$170.00
	Extension fee (if any)	\$____.00
	<b>Total Fee Due</b>	<b>\$170.00</b>

#### PAYMENT OF FEES


- VII. ☒ Enclosed is a check in the amount of \$170.00.  
☐ Charge Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_. A duplicate of this request is attached.

**AUTHORIZATION TO CHARGE ADDITIONAL FEES OR  
CREDIT OVERPAYMENT**

- VIII.**      ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

May 30, 2002  
Date

  
Daniel P. Malley  
Reg. No. 43,443

DPM/llp

Customer No.:



**20874**

PATENT TRADEMARK OFFICE



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/066,145	10/26/2001	Mark Krol	600-023

20874  
WALL MARJAMA & BILINSKI  
101 SOUTH SALINA STREET  
SUITE 400  
SYRACUSE, NY 13202



CONFIRMATION NO. 3130  
FORMALITIES LETTER



Date Mailed: 04/02/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/11/2002 YPOLITE1 00000098 10066145

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130.00 OP